


Attorney Docket No. 091/005P
Patent

STATEMENT UNDER 37 CFR 3.73(b)

COPY

Applicant/Patent Owner: Geron Corporation

Application No./Patent No.: [to be assigned]

Filing Date: May 4, 2001

Entitled: GENETICALLY ALTERED HUMAN PLURIPOTENT STEM CELLS

GERON CORPORATION, a corporation, states that it is the assignee of the entire right, title, and interest in the patent application/patent identified above by virtue of:

An assignment from the inventor(s) of the patent application/patent identified above, a true copy of which is attached hereto.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 4, 2001
Date


Signature

David J. Earp, Reg. No. 41,401
Typed or printed name

Vice President, Intellectual Property
Title

COPYPATENT
Docket No.: 091/005P**ASSIGNMENT**

Whereas we, Joseph D. Gold, Melissa K. Carpenter, Margaret S. Inokuma, and Chunhui Xu

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

GENETICALLY ALTERED HUMAN PLURIPOTENT STEM CELLS

☒ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

☐ Serial No. _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature: <u>Joseph D. Gold</u>	Date: <u>5/13/01</u>
Inventor's Signature: <u>Melissa K. Carpenter</u>	Date: <u>5/4/01</u>
Inventor's Signature: <u>Margaret S. Inokuma</u>	Date: <u>5/4/01</u>
Inventor's Signature: <u>Chunhui Xu</u>	Date: <u>5/4/01</u>

PATENT
Docket No.: 091/005PState of California
County of San Mateo

} ss.

Before me this 3RD day of May 2001 personally appeared
Joseph D. Gold personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

Notary PublicState of California
County of San Mateo

} ss.

Before me this 4TH day of May 2001 personally appeared
Melissa K. Carpenter personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

Notary PublicState of California
County of San Mateo

} ss.

Before me this 4TH day of May 2001 personally appeared
Margaret S. Inokuma personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

Notary Public

PATENT
Docket No.: 091/005P

State of California

} ss.

County of San Mateo

Before me this 4th day of May 2001 personally appeared
Chunhui Xu personally known to me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the
same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



Notary Stamp/Seal

K. Makasky

Notary Public

geron

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Facsimile Transmittal Sheet

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